

RHODE ISLAND - POTENTIAL CAPITAL SOURCES

Company Name	Investment Firm Type	Level	Business Description	Primary Address	Website	Key Executives (Current Only)	Board Members (Current Only)
MEDport LLC	PE/VC		MEDport LLC is an incubator specializing in financing consumer-related business. The firm also provides professional services that include capital investment and financing, product design, manufacturing, warehousing and distribution, sales, marketing, customer service, MIS, and accounting. It was formerly known as The Ocean Group, Inc. MEDport LLC was founded in 1996 and is based in Providence, RI.	23 Acorn St., Providence, RI 02903 Phone: 401-490-4600 fax: 401-273-0630		Jacober, Jeff (board)	Jacober, Jeff (board)
Richmond Square Capital Corp.	PE/VC		Richmond Square Capital Corporation is a principal investment firm. It is based in Providence, RI	1 Richmond Sq., Providence, RI 02906 phone 401-521-3000 fax: 401-751-3940		Schein, Harold	
South Street Advisors, L.P.	PE/VC		South Street Advisors, L.P. is a principal investment firm. It was founded in 1997 and is based in Providence, RI	1 Richmond Sq., Providence, RI 02906			
The Procaccianti Group	PE/VC		TPG has owned, developed or managed over 100 hotels representing more than 20,000 guest rooms. TPG Hospitality is a fully accredited operator of InterContinental, Hyatt, Marriott, Westin, Hilton, and Wyndham that together represent over 40 hotel brands. TPG Hospitality has a proven track record of meeting the stringent demands of the major corporate brands and is recognized as a leader in performance, service, and quality.	1140 Reservoir Ave, Cranston, RI 02920 phone: 401-946-4600 fax: 401-943-6320	www.tpgcompanies.com	Procaccianti, James	
Viridian Investment Partners, L.P.	PE/VC		Viridian Investment Partners, L.P. is a principal investment firm specializing in small and mid-sized businesses. It primarily invests in telecommunications, technology, and energy industries. Viridian Investment Partners, L.P. is based in Providence, RI.	45 Barberrry Hill Road, Providence, RI 02906 phone: 401-965-3006	www.viridianinvestmentpartners.com	Fanch, Bob	
Warbros Venture Partners	PE/VC		Warbros Venture Partner is a venture capital firm specializing in early-state financing. The firm prefers to take a board seat or act as advisors to the companies in which they invest. It seeks to take minority stake in companies who are looking to raise between \$250,000 and \$2 million. The firm typically invests in proprietary technologies and well-developed brands. It prefers to make investment in Northeast and New England with a focus on New York. The firm seeks to invest between \$100,000 and \$500,000 in its portfolio companies. It was founded in 1996 by Mr. John Warburg and Mr. Michael Warburg and is based in Westerly, Rhode Island with an additional office in Connecticut.	PO Box 1033, Westerly, RI 02891 phone: 401-854-1850	http://www.warbros.com/	Warburg, Michael; Warburg, John	
Angel Street Capital	PE/VC; PE/VC (fundless only)		Angel Street Capital is a venture capital firm specializing in investment in early state companies. It invests in companies involved in providing tools and services to digital interactive media which includes internet radio, digital advertising systems, audience data and analytics, local web portals, ad exchanges, content management system, music management and distribution, and social media. The firm seeks to invest between \$0.05 million to \$0.25 million in initial round of funding. It prefers to invest in form or preferred equity or convertible debt. Angel Street Capital is based in Providence, Rhode Island with an addition office in Newport.	402 Angell Stret, Providence, RI 02906 phone: 401-854-1850	http://angelstreetcapital.com	Maccini, Robert; Gallagher, Joseph	
Slater Technology Fund	PE/VC; PE/VC (fundless only)		Slater Technology Fund is a venture capital firm specializing in investments and incubation, seed stage and startups. The firm seeks to invest in life sciences, biomedical technology, information technology, and clean energy companies. Within information technology, it invests in software, internet, and digital media and within clean energy sector; it invests in energy and environment technology and alternative energy resources. It typically invests in companies based in Rhode Island. The firm seeks to invest up to \$0.75 million per transaction and up to \$3 million annually. It can re-invest in its companies. Slater Technology Fund was founded in 1997 and is based in Providence, RI.	3 Davol Square, Ste. A340, Providence, RI 02903 phone: 831-6633	http://www.slaterfund.com/	Horan, Richard.	
BlueFin Capital, Inc.	Traditional Investment Manager		Blue Fin Capital, Inc. is an employee owned investment manager. The firm primarily provides its services to individuals, typically high net worth individuals. It also manages accounts for pension and profit sharing plans, charitable organizations, and corporation. The firm manages separate client-focused equity portfolios. It invests in the public equity and fixed income markets of the United States. The firm employs fundamental analysis with a combination of top-down and a bottom-up stock picking approach to make its investments. For its fixed income investment, it typically invests in investment-grade securities, typically, treasury bills, municipal bonds, and corporate bonds. Blue Fin Capital is based in Providence, RI.	10 Weybossett St., Ste. 302B, Providence, RI 02903 phone: 401-454-0772	http://www.bluefincapital.com	Sammarino, Clark:	
Citizens Investment Management Services	Traditional Investment Manager		Citizens Investment Management Services (CIMS) is an asset management arm of RBS Citizens, N.A. The firm also provides trust services, pension plan administration services, municipal entities, and retirement planning services. It provides its services to high net-worth individuals, not-for-profit organizations, pension plans and corporations. The firm manages separate client focused equity fixed income and balanced portfolios. It invests in public equity and fixed income market of the United States. The firm also invests in index vehicles and specialized products. CIMS is based in Providence.	870 Westminster St., Ste. 850, Providence, RI 02903 home: 401-453-4040		Kelliher, Maureen; Ginnetty, Gail; Haut, Mary	
Compton Capital Management, Inc.	Traditional Investment Manager		Compton Capital Management, Inc. is an employee owned investment manager. The firm primarily provides its services to high net worth individuals. It also caters to individual, pension and profit sharing plans, charitable organizations, and meehan fund. The firm manages separate client-focused equity and fixed income portfolios. It invests in the public equity and fixed income markets across the globe. The firm typically invests in value stocks of companies. It employs fundamental and technical analysis to make its investments. Compton Capital Management, Inc. was founded in 1991 and is based on Providence.	170 Westminster St., Ste. 850, Providence, RI 02903 phone: 401-453-4040		Kilborn, George Frederick; Ransom, Charles Winship	
Eliot•Rose Asset Management, LLC	Traditional Investment Manager		Eliot-Rose Asset Management, LLC is an employee owned investment manager. The firm also provides advisory services to its clients. It provided its services to individuals, typically high net worth individuals. The firm also provides its services to other pooled investment vehicles and corporations. It manages equity portfolios for its clients. The firm invests in the public equity markets of the United States. It primarily invests in value stocks of micro-cap companies with market capitalization of less than \$250 million. The firm conducts in-house research to make its investments. Eliot-Rose Asset Management is based in Providence.	1000 Chapel View Blvd, Ste. 240, Cranston, RI phone: 401.588.5100	http://www.eliotrose.com	Siperstein, Gary	
Gold Eagle Acquisition, Inc.	Traditional Investment Manager			780 Reservoir Ave, #123, Cranston, RI 02910 Phone: 401-440-9533			

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Newman Dignan & Sheerar, Inc.	Traditional Investment Manager			30 Exchange Terrace, Providence, RI 02903 phone: 401-351-4010	http://www.newmandignan.com/	Newman, William	
Parsons Capital Management, Inc.	Traditional Investment Manager		Parsons Capital Management, Inc. is an employee owned investment manager. The firm provides its services to individuals, typically high net worth individuals. It also caters to trusts, foundations, corporations, retirement accounts, charitable organizations, and pension and profit sharing plans. The firm manages separate client focused equity portfolios. It invests in public equity markets. It employs a fundamental, quantitative and a technical analysis to create its portfolios. It obtains external research from organizations to complement its in-house research. Parsons Capital Management, Inc. was founded in 1993 and is based in Providence with an additional office in Mystic, Connecticut.	10 Weybossett St., Ste. 106 Providence, RI 02903 phone: 401-521-2440	http://www.parsonscapital.com	Parsons, Robert	
Pyramis Global Advisors, LLC	Traditional Investment Manager		Pyramis Global Advisors, LLC is a privately owned investment manager. The firm primarily provides its services pooled investment vehicles. It also caters to investment companies, pension and profit sharing plans, corporation or other businesses, state and municipal government entities, other investment advisors, and insurance companies. The firm manages separate client-focused equity, fixed income and balance portfolios for its clients. It also manages mutual funds for its clients. The firm invests in public equity, fixed income, and alternative investmetns market across the globe. It also invests in real estate markets. The firm employs a combination of fundamental and quantitative analysis to make its investments. It conducts in-house research to make its investments. The firm was founded in 2005 and is based in Smithfield, Rhode Island. Pyramis Global Advisors, LLC operates as a subsidiary of Fidelity Investments.	900 Salem Street, Smithfield, RI 02917	www.pyramis.com	Jones, Michael; Chin, Young	
Rex Capital Advisors	Traditional Investment Manager			50 Park Row West, Ste. 113 Providence, RI 02903 phone: 401-383-5370			
Richard C. Young & Co., Ltd	Traditional Investment Manager		Richard C. Young & Co., Ltd. Is an employee owned investment manager. The firm provides services to individuals. It manages separate client-focused equity, fixed income, and balanced portfolios. The firm invests in the public equity and fixed income markets across the globe. It makes its investments in international and domestic stocks, bonds, funds, commodities, and currencies. Richard C. Young & Co., Ltd. was founded in 1989 and is based in Newport, Rhode Island with an additional office in Naples, Florida.	98 William Street, Newport, RI 02840 Phone: 401-849-2137	http://www.younginvestments.com/	Young, Matthew	
VanLiew Trust Co.	Traditional Investment Manager		Van Liew Trust Company is a privately owned investment manager with approximately \$400 million in assets under management. It also provides trust services and estate planning and settlement services to its clients. The firm provides its services to individuals, institutions, and organizations throughout New England and beyond. It manages separate client-focused equity and fixed income portfolios. The firm invests in the public equity and fixed income markets of the United States. It invests in growth and value stocks employing a fundamental and technical analysis to make its investments. The firm typically prefers to invest in stocks of companies with lower debt components. It employs an active approach to make its fixed income investments in municipal bonds and securities in which the credit risk is investment grade or higher. The firm uses a top-down approach to select its sector and bottom-up security picking approach to create its equity and fixed income portfolios. Van Liew Trust Company was funded in 1984 and is based in Providence with an additional office in Newport.	One Regency Plaza, Ste. 1 Providence, RI 02903 phone: 401-272-2510	http://vanliewtrust.com/	Van Liew, Alfred	
Weybosset Research & Management, LLC	Traditional Investment Manager		Weybosset Research & Management, LLC is an employee owned investment manager. The firm primarily provides its services to high net worth. It also caters to individuals, pension and profit sharing plans, and charitable organizations. The firm provides client focuses equity and fixed income portfolios. It invests in public equity and fixed income market across the globe. The firm employs a combination of fundamental, technical and charting analysis to make its investments. It uses external and internal research to make its investments. Weybosset Research & Management is based in Providence.	72 South Main St., Providence, RI 02903 phone: 401-421-7171		Lewis, Fla	
WhaleRock Point Partners, LLC	Traditional Investment Manager		WhaleRock Point Partners, LLC is an employee owned investment manager. The firm also provides investment counseling and institutional advisory services to high net worth individuals. The firm also caters to individuals, families, endowments, foundation, institutional investors, pension and profit sharing plans, charitable organizations, corporation and insurance companies. It manages separate client-focused equity and fixed income portfolios. The firm invests in public equity and fixed income markets. It employs fundamental and technical analysis with a focus on top-down analysis to make its investments. The firm obtains external research to complement its in-house research to make its investments. WhaleRock Point Partners, LLC was founded in May 2006 and is based in Providence	1 Turks Head Place, Suite 1050, Providence, RI 02903 phone: 401-228-7799	http://www.wrpoint.com/	Dorman, Bradley	
Textile Workers Pension Fund	Union Pension Plan Sponsor			6 Blackstone Valley Place, #302, Lincoln, RI 02865 phone: 800-452-4155			
Lake Rudd Capital Partners	PE/VC		Lake Rudd Capital Partners (LRCP) is a private investment firm that specializes in the acquisition of private middle-market businesses. The firm seeks to invest in situations where the owner is seeking to retire without a clear successor, companies in need of a turnaround, family estate planning, companies in need of additional management oversight and board expertise, management transitions, corporate spinouts, owners in need of liquidity and wishing to diversity personal net worth, and neglected industries. It typically invests in companies in the telecommunications, telecommunications infrastructure, value added distribution, healthcare services, services, maintenance and support services, environmental services, business process outsourcing, manufacturing and infrastructure sectors. The firm prefers to invest companies with annual revenue between \$5 million and \$75 million, stable and recurring cash flows of at least \$1 million, minimum operating margins (EBIT) or at least 15per cent and limited CAPEX and working capital requirement. It prefers to be an advisor and partner and make controlling or minority investments in companies. Lake Rudd Capital Partners is based in Providence.	95 Chestnut St., Providence, RI 02903 phone: 401-286-4883	http://www.lakeruddcapital.com		
Management Capital, LLC	PE/VC		Management Capital, LLC is a private equity firm providing leadership and capital to small and middle market companies. The firm basically invests in growth, acquisition support, leverage buyouts, recapitalization, change of ownership, turnarounds, mergers, and divestitures. The firm seeks to invest in branded consumer products/services, branded industrial products/services, manufacturing, and specialty retail concepts. The firm typically invests in United States. The firm seeks to invest in equity as well as provide subordinate debt in range between \$1 million and \$10 million in companies with revenue between \$10 million and \$100 million. The firm prefers to be the lead investor working closely with company management to achieve strategic objectives. Management Capital, LLC is based in Barrington, RI	60 Bay Spring Avenue, Ste. B4, Barrington, RI 02806 phone: 401-246-0050	http://www.mgtcapital.com	Humphreys, Ernest	

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Cherrystone Angel Group	PE/VC; PE/VC (fundless only)	Cherrystone Angel Group is a venture capital firm specializing in seed/start-ups, early stage, mid venture, emerging companies and growth capital investments. The firm typically invests in companies located in Rhode Island with a focus on Providence and companies nearby Connecticut and Massachusetts and also in companies which are willing to relocate to Rhode Island. It primarily invests between \$0.1 million and \$0.5 million. The firm considers to co-invest in its portfolio companies and participates in follow-on round of financings. It seeks to take a board seat in its portfolio companies. The firm typically holds its investments for a period of three to five years. Cherrystone Angel group was formed in 2004 and is based in Providence.	40 Westminster Street, Ste. 702, Providence, RI phone: 401-351-3036 www.cherrystoneangelgroup.com/	Dorsey, Peter
Textron Inc., Pension Arm	Corporate Pension Plan Sponsor	Textron, Inc. Pension Arm is a privately owned investment manager. The firm provides services to Textron employees. It manages pension funds and 401 Saving Plans for its clients. The firm invests in the public equity, fixed income, and alternative markets of the United States. It primarily invests in equity and debt securities, real estate, and other alternative assets. Textron, Inc. Pension Arm is based in Providence, RI.	40 Westminster Street, Providence, RI phone: 401-421-2800	Campbell, Lewis B.
Bryant University College of Business Administration, Endowment Arm	Endowment Fund Sponsor	Bryant University College of Business Administration, Endowment Arm is a privately owned investment manager. The firm invests in the public equity markets of the United States. Bryant University College of Business Administration, Endowment Arm is based in Smithfield, RI.	1150 Douglas Pike, Smithfield, RI 02917 phone: 401-232-6017 www.bryantedu.com	Machtley, Ronald
Newportfed Charitable Foundation, Endowment Arm	Endowment Fund Sponsor	Newportfed Charitable Foundation, Endowment Arm is a privately owned investment manager. The firm invests in the public equity markets. Newportfed Charitable Foundation, Endowment Arm is based in Newport, RI.	100 Bellevue Ave, Newport, RI 02840 phone: 401-848-5910	
Roger Williams University, Endowment Arm	Endowment Fund Sponsor	Roger Williams University, Endowment Arm is a privately owned investment manager. The firm invests in the public equity markets of the United States. Roger Williams University, Endowment Arm is based in Bristol, RI.	One Old Ferry Road, Bristol, RI 02809 phone: 401-253-1040	
Strategic Point Investment Advisors LLC	Family Office/Family Trust	Strategic Point Investment Advisors LLC (SPIA) is a privately owned investment manager. The firm also provides financial advisory, retirement planning, education planning, and insurance review services to its clients. It primarily provides its services to individuals, including high net worth individuals. The firm also manages accounts for charitable organization and corporations. It invests in the public equity markets of the United States. The firm operates as a subsidiary of Progressive Financial Strategies, LLC. Strategic Point Investment advisors was founded in 1989 and is based in Providence with an additional office in East Greenwich, RI.	294 West Exchange St., Providence, RI 02903 phone: 401-273-1500 http://www.strategicpoint.com/	
Washington Trust Wealth Management	Family Office/Family Trust	Washington Trust Wealth Management is a privately owned investment holding company. The firm also provides trust planning, estate planning, financial planning, retirement planning, trust services, tax planning, fiduciary services, non-profit solutions and insurance solutions through subsidiaries. It provides its services to individuals and corporation. The firm invests in the public equity and fixed income markets of the United States through its subsidiaries. Washington Trust Wealth Management was founded in 1902 and is based in Westerly with additional offices in Narragansett and Providence.	23 Broad St., Westerly, RI 02891 phone: 401-348-1200 http://www.washtrust.com/home/wealth	Gim, Mark
The Hassenfeld Foundation, Endowment Arm	Family Office/Family Trust		1027 Newport Ave., Pawtucket, RI 02861	
Employees' Retirement System of RI	Government Pension Plan Sponsor	The Employee's Retirement System of RI is a government owned investment manager. The firm provides retirement, disability, survivor, and death benefits to state employees, public school teachers and municipal employees who are employed by a participating municipality. It manages pension funds for its client. The Employee's Retirement System of RI is based in Providence.	50 Service Ave, Warwick, RI 02886 phone: 401-426-7600	
Providence Employee's Retirement System	Government Pension Plan Sponsor	Providence Employees' Retirement System is a principal investment firm based in Providence, RI.	25 Dorrance St., Room 409 Providence, RI 02903 phone: 401-421-7740	Schoenfeld, Barbara
BioRevolution Capital Management LLC	Hedge Fun Manager	BioRevolution Capital Management LLC is a privately owned hedge fund sponsor. The firm invests in Biotechnology Sector. BioRevolution Capital Management is based in Providence, RI.	94 Arbor Drive, Providence, RI 02908 phone: 401-278-4949	Seymour, Eugene
Amica Mutual Insurance Company, Asset Management Arm	Insurance Co.	Amica Mutual Insurance Co., Asset Management Arm is a privately owned investment manager. The firm invests in the public equity markets of the United States. Amica Mutual Insurance Co., Asset Management is based in Lincoln, RI.	100 Amica Way, Lincoln, RI 02865	Benson, Robert Karl
Bank of America RI, N.A. Asset Management Arm	Investment Banking Firm		111 Westminster St, Providence, RI	
Citizens Bank, Asset Management Arm	Investment Banking (Investment Firm)		870 Westminster St., Providence, RI 02903 home: 401-453-4040	
Newport Bancorp Inc., Asset Management Arm	Investment Banking (Investment Firm)		100 Bellevue Ave, Newport, RI 02840 phone: 401-848-5910	
RBS Citizens, NA, Asset Management Arm	Investment Banking (Investment Firm)		1 Citizens Plaza, Providence, RI 02903	

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Washington Trust Co., Asset Management Arm	Investment Banking (Investment Firm)			23 Broad St., Westerly, RI 02891			
Betaspring	PE/VC		Betaspring is a venture capital investment firm specializing in startup and seed capital investments. The firm also provides legal counsel, incorporation filing, and other legal services. It prefers to invest in the technology and design sectors. The firm seeks to invest a maximum of \$20,000 for seed investments. Betaspring is based in Providence.	95 Chestnut St., 3rd Floor, Providence, RI 02903 phone: 401-308-3076			
Japonica Partners	PE/VC		Japonica Partners is a private equity firm specializing in investing in under-performing global large caps and turnarounds. Japonica Partners 1 was founded in 1988 and is based in Providence, Rhode Island.	Headquarters 30 Kennedy Plaza Providence, Rhode Island 02903-2329 United States Main Phone:401-861-6160 Main Fax:401-521-4940 www.japonica.com		Kazarian, Paul B. (Board)	Kazarian, Paul B. (Board)
Liberty Capital Partners, Investment Arm	PE/VC		Liberty Capital Partners, Investment Arm is a private equity and venture capital firm specializing in startups, early stage, late stage, growth equity, buyouts, and acquisitions. Within growth equity it focuses on technology and non technology companies, and midsize business services companies. Within startups and early stage companies it focuses on technology firms. The firm also considers investments in late stage companies (Series B or C). Under buyouts and growth equity, it typically invests between \$2 million and \$10 million per deal, in early stage and startups, is seeks to invest between \$0.5 Million and \$3 million per deal. The firm also prefers to enter into large transactions 1 syndicating the remainder of the investment. Liberty Capital Partners, Investment Arm is based in Providence, Rhode Island.	Headquarters One Turks Head Place Suite 300 Providence, Rhode Island 02903 United States Main Phone:401-277-4000 www.libertycapitalpartners.com/index.php/equity/overview/		Gladney, James S. Truslow, James L. Millici, Nicole C. Keller, Susan A. Tessier, Greg	Hodgkin, Andrew (Board); Mabbs, Kenneth A. (Board); Marcus, Steven P. (Board); Markey, William F. (Board); Pemberton, Dennis J. (Board); Popeo, R. Robert (Board); Smith, Craig (Board); Wray, William K. (Board); Zillmer, John J. (Board)
Providence Equity Partners LLC	PE/VC		Providence Equity Partners LLC is a private equity and venture capital firm specializing in mid and late venture, mature growth capital, recapitalizations of family-owned business, leveraged buyouts, mezzanine, PIPES, and take-privates investments in middle market companies. The firm also provides debt investment management, and capital market and debt structuring advice through its capital markets group, with respect to its portfolio companies. It typically invests in media, entertainment, sports, communications, education, telecom businesses, and information services sectors with a focus on broadband, cable television, music, film, wireline, wireless, newspaper, book, magazine and directory publishing, digital media, print media, network television, cable television content and distribution, television and radio broadcasting, satellites, fixed line and mobile telephony systems, local exchange carriers, multimedia, marketing services, business outsourcing providers, software, Internet services development, and Internet infrastructure sectors. The firm typically invests in companies 1 based across the world including China, United States, and Europe. It primarily invests between \$250 million and \$2500 million in its portfolio	Headquarters 50 Kennedy Plaza 18th Floor Providence, Rhode Island 02903 United States Main Phone:401-751-1700 Main Fax: 401-751-1790 www.provequity.com		Nelson, Jonathan Milton; Creamer, Glenn M.; Salem, Paul J.; Hull, Robert S.; Manlowe, David J.; Bejger, Roman A.; Beaumont, Renee; Riberio, Jessica A.; Dennisson, Piers R.; Dohron, Albert I.	
Carey International, Ltd., Investment Arm	PE/VC		Carey International, Ltd., Investment Arm is a venture capital arm of Carey International, Ltd. specializing in investment in small growth businesses for equity expansion. The firm prefers to invest in public companies. It invests in high Technology, low technology, and no technology business. The firms' investment size varies but in general the equity investments represent more than \$5 billion. It can make smaller investments in developments situations, where additional financings are required. The firm seeks to have a board seat in its portfolio companies. Carey International, Ltd. investment Arm is based in Westerly, Rhode Island with an additional office in New York, New York. 2	Headquarters 8 Ashworth Lane Westerly, Rhode Island 02891 United States Phone: 914-721-6050		Dolan, John Sweeney, Sean M. Duffler, Lee Kelly, Edward McKay, Ron Schwartz, Gregory; Brennan, Jim; Ren, Weis	Capocasale, Robert (Board) Christlofi, Denos (Board) Delato, Ken (Board) Fitzgerald, Robert (Board) Herrick, Chris (Board) McCooe, Sean J. (Board) Welvaert, Rene (Board) Wyckoff, Richard (Board)
Fleet Venture Resources, Inc	PE/VC		Fleet Venture Resources, Inc. was formerly known as Industrial Capital Corporation. Fleet Venture Resources, Inc. operates as a subsidiary of Bank of America Corp. 2	Headquarters 111 Westminister Street Providence, Rhode Island 02903 United States			
Nautic Partners, LLC	PE/VC		Nautic Partners, LLC is a private equity firm specializing in growth financings, middle markets, industry consolidations, recapitalizations, add-on acquisitions, and buyout investments. The firm prefers to invest in business services, healthcare, manufacturing, media, financial services, and communications sectors. It typically invests in companies based in the United States. Within the business services sector, the firm invests in outsourcing, franchised business services, non-core utility assets, receivables management, transaction processing, education and training, transportation and logistics, and human capital management. Within healthcare sector it invests in business process outsourcing, contract manufacturing, healthcare administration, and outpatient facilities. The firm seeks to invest in food and beverage, packaging, defense and aerospace, and specialty manufacturing within the manufacturing sector. Investments in the media and communications sectors include broadcasting, cable, direct marketing, directory services, information services, publishing, newspapers, RLEC's, wireless towers, and wireless communication. The firm seeks to invest between \$25 million and \$75 million in companies with an EBI 2	Headquarters 50 Kennedy Plaza 12th Floor Providence, Rhode Island 02903 United States Main Phone:401-278-6770 Main Fax: 401-278-6387 www.nautic.com		Buonanno, Bernard V. Crosby, Christopher J. Gorgi, Habib Y. Hillnski, Scott P. Hill, Douglas Joe, Michael W. LaRowe, James Wightman, Brad B. Balasco, Cynthia I.	

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Rhode Island State Treasury	Corporate Pension Plan Sponsor	3	Rhode Island State Treasury is a public pension fund that has \$265 Million assets under management. The fund engages in the following alternative investment strategies: buyouts/corporate finance, distressed debt/turnarounds, economically targeted investments, energy/oil & gas, international private equity, limited partnership secondaries, and venture capital. Rhode Island State Treasury will commit from \$5 million to \$25 million per partnership and has a net internal rate of return target of S&P + 500 basis points. The fund allocates 4% to 7.5% of its total assets to alternative investments. It was formed in 1989 and is based in Providence, Rhode Island	Headquarters 40 Fountain Street 8th Floor Providence, Rhode Island 02903-1855 United States Main Phone: 401-222-2287 Main Fax: 401-222-6141 www.state.ri.us/treas/treas.htm	Caine, Joan M.
Brown University Endowment	Endowment Fund Sponsor	3	Brown University Endowment is an endowment fund managed by Brown University. The firm invests in the public equity and fixed income markets across the globe, including emerging markets. It also invests in alternative markets. The fund primarily invests in equities including public and private equity; real estate; commodities; and U.S. Treasury securities and treasury inflation protected securities. It also employs hedging strategies typically long/short strategies to make its investments. Brown University Endowment is based in Providence, Rhode Island.	Headquarters Providence, Rhode Island 02903 United States Main Phone: 401-867-3998 Main Fax: 401-867-3996 www.brown.edu	Shimberg, Kenneth M.
Coastline Trust Company, N.A.	Family Office/Family Trust	3	Coastline Trust Company, N.A. is a privately owned investment manager. The firm also provides investment management and trust administration, custodial services, guardianship services, estate planning services, and estate administration services to its clients. It provides its services to individuals, including high net worth individuals, and corporations. The firm provides separate client focused equity and fixed income portfolios. It invests in public equity and fixed income markets across the globe. Coastline Trust Company, N.A. was founded in 2004 and is based in Providence, Rhode Island.	Headquarters 90 Elm Street Providence, Rhode Island 02903 United States Main Phone: 401-751-2702 Main Fax: 401-751-5741 www.coastlinetrust.com	Geremia, Timothy V. Gaumont, Robert N. (Board) Burwell, George C. Morgan, Joyce J. Gaumont, Robert N. (Board)
Bay Capital Investment Partners	PE/VC	3	Bay Capital Investment Partners is a private equity firm specializing in mezzanine, later stage, and emerging growth investments. The firm provides senior and subordinated debt and equity capital to lower middle market and mature companies in sponsored and non-sponsored transactions to support growth, acquisition financing, recapitalizations and management buyouts. The firm does not invest in turnarounds. It invests in business services, health care, information technology, manufacturing, media and communications. The firm seeks to invest in the United States in businesses located east of the Mississippi river with focus on Northeast, Mid Atlantic, Midwest, Great Lakes, and Southeast. The firm typically makes equity investments between \$2 million and \$10 million and debt investments between \$1 million and \$10 million in companies with enterprise values between \$1 million and \$50 million revenues between \$5 million and \$75 million; EBITDA between \$1 million and \$10 million; and EBITDA margins of at least 10 per cent. The firm makes both minority and control investments. It also co-invests with other capital providers. If further prefers to invest in companies with historical and projected annual growth exceeding 10% per annum	Headquarters One Turks Head Place Suite 1492 Providence, Rhode Island 02903 United States Main Phone: 401-228-3834 Main Fax: 401-228-3835 www.baycapllc.com	Mulligan, Gregory F. Halvey, Mary Pool, Franz L. Williams, Daniel P.
Business Development Corporation of Rhode Island, Investment Arm	PE/VC	3	Business Development Corporation of Rhode Island, Investment Arm is the venture capital firm specializing in investments in emerging companies. It seeks to invest in junior or subordinated debt and in, some instances equity. The firm prefers to invest in the manufacturing, software, printing, hospitality, restaurant, apparel, wholesale and distribution, medical supplies, distributors, service companies and recreation sectors. It provides debt and equity financing to help promising, but often undercapitalized, companies in Rhode Island and nearby Connecticut and Massachusetts. The firm also provides direct funding to companies not yet bankable, but which have the potential to become bankable. It typically holds its investments for a minimum of five years. Business Development Corporation of Rhode Island, Investment Arm is based in Providence, Rhode Island.	Headquarters 40 Westminster Street Suite 702 Providence, Rhode Island 02903 United States Main Phone: 401-351-3036 Main Fax: 401-351-3056 www.bdcric.com	
East Hill Management Company, LLC	PE/VC	3	East Hill Management Company, LLC is a venture capital firm specializing in early stage investments and hedge funds. The firm seeks to invest in the life sciences and physical sciences sectors. It primarily invests in companies based in the United Kingdom region. The firm prefers to be a lead investor in its portfolio companies. East Hill Management Company, LLC was founded in 2000 and is based in Providence, Rhode Island with an additional office in Oxford, United Kingdom.	Headquarters 10 Memorial Boulevard Suite 902 Providence, Rhode Island 02903 United States Main Phone: 401-490-0700 www.easthillmgt.com	Clay, Landon Thomas (Board); Collen, Desire (Board); Knowles, Jeremy R. (Board); May, Robert (Board); Plum, Matthias (Board) Clay, Landon Thomas (Board); Clay, Thomas M.